

**David Deppiesse**

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**From:** Adam Krenke  
**Sent:** Wednesday, July 13, 2022 9:32 AM  
**To:** 'Thies, Stephen, OSI'; David Deppiesse  
**Cc:** Baca, Victoria, OSI; Walker, Alfred, OSI; DeJesus, Vanessa A, OSI  
**Subject:** RE: [EXTERNAL] Notice of Contemplated Action Intent to Impose and Administrative Penalty

Good Morning Mr. Thies,

Thank you for following-up with us.

Please advise as to the process to challenge the statement, as provided in the Notice of Contemplated Action.

We intend to do so, as the facts we have stated below make it clear that the compliant filed against Quest CE is not justified and as such, the fine being imposed should be withdrawn.

Thank you.

**Adam Krenke**

Chief Operating Officer

**Quest CE**

**10100 W Innovation Drive Suite 200**

**Milwaukee, WI 53226**

414.375.3420 (Direct Line)

877.593.3366 ext. 120 (Toll Free)

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**From:** Thies, Stephen, OSI <Stephen.Thies2@state.nm.us>  
**Sent:** Wednesday, July 13, 2022 8:52 AM  
**To:** David Deppiesse <ddeppiesse@QuestCE.com>  
**Cc:** Baca, Victoria, OSI <VictoriaA.Baca@state.nm.us>; Walker, Alfred, OSI <Alfred.Walker@state.nm.us>; Adam Krenke <AdamKrenke@QuestCE.com>; DeJesus, Vanessa A, OSI <VanessaA.DeJesus@state.nm.us>  
**Subject:** RE: [EXTERNAL] Notice of Contemplated Action Intent to Impose and Administrative Penalty

Mr. Deppiesse,

Quest needs to respond as directed in the Notice of Contemplated Action. It provides that the OSI intends to impose a fine of \$1,000 if no hearing is requested. If Quest wishes to challenge the Contemplated Action, as provided in the Notice of Contemplated Action, Quest must request a hearing within 30 days of service of the Notice.

Stephen P. Thies  
Assistant Legal Counsel

NMOSI Office of Legal Counsel  
1120 Paseo de Peralta  
Santa Fe, NM 87501  
Cell: 505-470-7366  
Email: [Stephen.Thies2@state.nm.us](mailto:Stephen.Thies2@state.nm.us)

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**From:** David Deppiesse <[ddeppiesse@QuestCE.com](mailto:ddeppiesse@QuestCE.com)>  
**Sent:** Wednesday, July 13, 2022 7:16 AM  
**To:** DeJesus, Vanessa A, OSI <[VanessaA.DeJesus@state.nm.us](mailto:VanessaA.DeJesus@state.nm.us)>  
**Cc:** Baca, Victoria, OSI <[VictoriaA.Baca@state.nm.us](mailto:VictoriaA.Baca@state.nm.us)>; Walker, Alfred, OSI <[Alfred.Walker@state.nm.us](mailto:Alfred.Walker@state.nm.us)>; Thies, Stephen, OSI <[Stephen.Thies2@state.nm.us](mailto:Stephen.Thies2@state.nm.us)>; Adam Krenke <[AdamKrenke@QuestCE.com](mailto:AdamKrenke@QuestCE.com)>  
**Subject:** RE: [EXTERNAL] Notice of Contemplated Action Intent to Impose and Administrative Penalty

Good Morning,

Could I please request a response to the original email below?

Thank you,

**David Deppiesse**

Manager of Compliance

**Quest CE**

**10100 West Innovation Drive, Suite 200**

**Milwaukee, WI 53226**

414.375.3415 (Direct Line)

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**From:** DeJesus, Vanessa A, OSI <[VanessaA.DeJesus@state.nm.us](mailto:VanessaA.DeJesus@state.nm.us)>  
**Sent:** Thursday, July 7, 2022 5:14 PM  
**To:** David Deppiesse <[ddeppiesse@QuestCE.com](mailto:ddeppiesse@QuestCE.com)>  
**Cc:** Baca, Victoria, OSI <[VictoriaA.Baca@state.nm.us](mailto:VictoriaA.Baca@state.nm.us)>; Walker, Alfred, OSI <[Alfred.Walker@state.nm.us](mailto:Alfred.Walker@state.nm.us)>; Roybal, Doris L, OSI <[DorisL.Roybal@state.nm.us](mailto:DorisL.Roybal@state.nm.us)>; Thies, Stephen, OSI <[Stephen.Thies2@state.nm.us](mailto:Stephen.Thies2@state.nm.us)>  
**Subject:** RE: [EXTERNAL] Notice of Contemplated Action Intent to Impose and Administrative Penalty

Good Afternoon Mr. Deppiesse,

Please direct all questions and correspondence to Mr. Stephen Thies. He has been included above.

Thank you,  
Vanessa DeJesus

Compliance Supervisor  
Producer Licensing Bureau  
1120 Paseo De Peralta  
Santa Fe, NM 87501  
855-427-5674  
[VanessaA.DeJesus@state.nm.us](mailto:VanessaA.DeJesus@state.nm.us)  
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**From:** David Deppiesse <[ddeppiesse@QuestCE.com](mailto:ddeppiesse@QuestCE.com)>  
**Sent:** Thursday, July 7, 2022 1:07 PM  
**To:** Roybal, Doris L, OSI <[DorisL.Roybal@state.nm.us](mailto:DorisL.Roybal@state.nm.us)>  
**Cc:** Adam Krenke <[AdamKrenke@QuestCE.com](mailto:AdamKrenke@QuestCE.com)>; Walker, Alfred, OSI <[Alfred.Walker@state.nm.us](mailto:Alfred.Walker@state.nm.us)>; Thies, Stephen, OSI <[Stephen.Thies2@state.nm.us](mailto:Stephen.Thies2@state.nm.us)>  
**Subject:** [EXTERNAL] Notice of Contemplated Action Intent to Impose and Administrative Penalty  
**Importance:** High

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Good Afternoon Doris,

I am reaching out today in preparation of a response for the attached violation letter we received yesterday. I have a question that I am looking for additional information on.

If a CE provider (Quest CE) does hold an event where it was clearly stated that New Mexico continuing education credit was not available, but an attendee goes directly to the state, as in this case, seeking credit, how is the CE provider (Quest CE) responsible (issuance of fine) in a case such as this? We did some research into this and the event that was scheduled did not include New Mexico credit, it was not requested at the time of setup, and the materials that were provided with the event made no mention of New Mexico continuing education credit being available and it was confirmed with the instructor no announcements were made indicating it was available.

Based on this, there is no reason why the attendee in question should have believed continuing education credit was an option and therefore, how can administration sanction be sought against Quest CE?

We will plan to hold off on further action on our side until a response is received.

Thank you,

**David Deppiesse**  
Manager of Compliance  
**Quest CE**  
**10100 West Innovation Drive, Suite 200**  
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